



WEMMH/SB/21 (4/03)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/602.905
Filing Date	June 24, 2003
First Named Inventor	James A. HOFF
Group Art Unit	3727
Examiner Name	Not yet assigned
Attorney Docket Number	1104-750/ RKE-075
Total Number of Pages in this Submission	

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	<b>James M. Durlacher</b> <b>Woodard, Emhardt, Moriarty, McNett &amp; Henry LLP</b>		
Signature	<i>James M. Durlacher</i>		
Date	September 23, 2003		

## Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: **September 23, 2003**

Typed or printed name	James M. Durlacher, Reg. No. 28,840		
Signature	<i>James M. Durlacher</i>	Date	September 23, 2003



1104-750.JMD.240957

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: )  
James A. Hoff ) Before the Examiner  
Serial No. 10/602,905 )  
Filed June 24, 2003 ) Group Art Unit 3727  
CLOSURE ASSEMBLY ) September 23, 2003

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September 23, 2003

Date of deposit

James M. Durlacher

Name of Registered Representative

*James M. Durlacher*  
Signature

September 23, 2003

Date of Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with Applicants' continuing duty of disclosure under 37 CFR §1.97

(b)(3), the following patents are brought to the attention of the United States Patent and Trademark Office and specifically to the attention of the Examiner assigned to the subject patent application. The submitted documents are listed on the attached PTO Form and a copy of each reference is included for the Examiner's convenience.

### U.S. PATENT REFERENCES

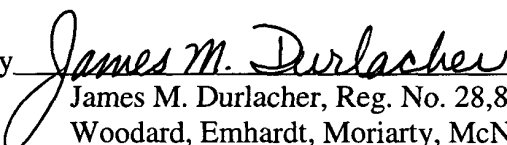
<b>Patent No.</b>	<b>Date</b>	<b>Inventor</b>
2,459,668	01/18/49	Melichar
3,255,916	06/14/66	Rice
3,380,618	04/30/68	Phillips
3,893,487	07/08/75	Engelking
3,905,091	09/16/75	LaRocque
4,105,135	08/08/78	Bradshaw et al.
4,164,304	08/14/79	Roberson
4,117,949	10/03/78	Dwinell
4,711,365	12/08/87	Fomby
4,905,862	03/06/90	Dwinell et al.
5,680,953	10/28/97	Baughman
5,862,936	01/26/99	Johanson
6,065,627	05/23/00	Johanson

### PUBLICATIONS

Printout from <a href="http://www.eatel.net">www.eatel.net</a> , "Coastal Containers Closing Directions", Coastal Containers, Inc., Baton Rouge, LA, pgs. 1-6.
Printout from <a href="http://www.con-techinternational.com">www.con-techinternational.com</a> , "Products - Drum Closures", Con-Tech International, Inc., New Orleans, LA, pgs. 1-7.
"ViseGrip® Flanges", Rieke Corporation, Auburn, IN, 1991.

This Information Disclosure Statement is being filed within three months of the filing date of the above-identified patent application and prior to the issuance of an Office Action. It is believed that no other fee is required for submission of this Statement. Should any additional fee be required, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,

By   
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**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Sheet 1 of 2

Atty. Docket No. 1104-750/RKE-075	Serial No. 10/602,905
Applicant James A. HOFF	
Filing Date June 24, 2003	Group 3727

**U.S. PATENT DOCUMENTS**

Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	Filing Date If appropriate
	2,459,668	01/18/49	Melichar	285	55	
	3,255,916	06/14/66	Rice	220	39	
	3,380,618	04/30/68	Phillips	220	39	
	3,893,487	07/08/75	Engelking	138	89	
	3,905,091	09/16/75	LaRocque	29	460	
	4,105,135	08/08/78	Bradshaw et al.	220	288	
	4,164,304	08/14/79	Roberson	220	465	
	4,117,949	10/03/78	Dwinell	220	304	
	4,711,365	12/08/87	Fomby	220	288	
	4,905,862	03/06/90	Dwinell et al.	220	303	
	5,680,953	10/28/97	Baughman	220	288	
	5,862,936	01/26/99	Johanson	220	304	
	6,065,627	05/23/00	Johanson	220	304	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

Examiner

Date Considered

\*Examiner: initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

